GemCap
UK Limited

Adding Value

Facilities Agent Service for Managers wanting to distribute funds into the United Kingdom

When a Fund Manager wants to distribute a non-UK domiciled fund, recognised for distribution by the FCA in the UK, it will need to ensure the rules on 'Facilities in the United Kingdom' from the FCA Handbook (COLL 9.4) are complied with.

GemCap UK Limited can provide a cost-effective service whereby it will act as the UK Facilities Agent in respect of the fund and its shares that are registered for distribution in the UK.

In essence, it will allow Fund Managers to discharge the obligations imposed by COLL 9.4 of the FCA Handbook, requiring that non-UK funds maintain an address and facilities for investors in the United Kingdom when passporting UCITS fund for marketing in the United Kingdom.

FCA requirement	The Gemini Solution
A person can inspect fund documentation (COLL 9.4.2)	All constitutional documents and fund reports can be obtained in person by visiting our Facilities Agency address/office in the UK or for immediate access downloaded from the Gemini Website.
A person can arrange for the redemption of their holding (COLL 9.4.3)	Should an investor in the UK need to arrange redemption of shares, Gemini will liaise with the Fund Registrar. Alternatively information regarding how to redeem shares will be found on the Gemini website.
A person can source the latest price of units in the fund (COLL 9.4.3)	The latest prices for the shares can also be found on the Gemini website should a person prefer not to visit the Facilities Agent's office in the UK.
Investors can submit complaints relating to the fund (COLL 9.4.5)	Should an investor have a complaint about the operation of the fund we can assist with this procedure. Information can be found on the Gemini website should a person prefer not to visit the Facilities Agent's office in the UK.

Gemini already has several clients using this resource, should you be interested in this streamlined , cost effective and efficient service please contact Natalie North (natalie.north@geminicapital.ie)

For an additional cost, we can also assist with the production of any KIIDs, EMTs and PRIIPs

GemCap UK Limited is registered in England & Wales No.6795280
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